

22783 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
UTILITY PATENT APPLICATION TRANSMITTAL

(For new non-provisional applications under 37 CFR § 1.53(b))

22141 U.S. PTO  
10/749300



Attly. Dkt. No:	5760-18600
Inventor(s): Anindya Banerjee, Sivaramakrishna Ramadugu Venkata, Navin Kabra, Kai Wong and Bijaya Laxmi Nanda	
Title:	EFFICIENT DISTRIBUTED TRANSACTION PROTOCOL FOR A DISTRIBUTED FILE SHARING SYSTEM

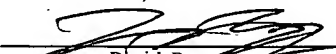
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CERTIFICATE OF EXPRESS MAIL  
UNDER 37 C.F.R. §1.10

"Express Mail" mailing label number: EL990142675US  
DATE OF DEPOSIT: 12/31/03

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

  
Derrick Brown

## Application Elements

1. ☒ Filing Fee

The filing fee is calculated as shown below.

|                           |      |      |     |             |           |
|---------------------------|------|------|-----|-------------|-----------|
| Total Claims              | 22   | - 20 | = 2 | x \$18.00 = | \$ 36.00  |
| Independent Claims        | 5    | - 3  | = 2 | x \$86.00 = | \$ 172.00 |
| Multiple Dependent Claims | Fee: |      |     |             |           |
|                           |      |      |     | Basic Fee:  | \$ 770.00 |
|                           |      |      |     | Total:      | \$ 978.00 |

- ☒ The Commissioner is hereby authorized to charge the filing fee and any other fees which may be required or credit any overpayment to Deposit Account No. 501505/5760-18600/BNK.
2. ☒ Specification  
    31 page(s) of specification; 6 page(s) of claims, 1 page(s) of abstract
3. ☒ Drawings  
    Formal Figure(s) 1-3 on 3 sheet(s)
4. ☒ Oath or Declaration  
    ☒ Newly executed  
    ☐ Copy from a prior application (see 37 C.F.R. § 1.63(d))  
        Deletion of Inventor(s) (in continuation or divisional applications):  
            ☐ Delete the following inventor(s) named in the prior non-provisional application: \_\_\_\_\_  
            ☐ The inventor(s) to be deleted are set forth on a signed sheet attached hereto.
5. ☐ The entire disclosure of the prior application referred to above is considered to be part of the accompanying application and is hereby incorporated by reference herein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)  
    ☐ Computer Readable copy

- ☐ Paper Copy (identical to computer copy)  
☐ Statement verifying identity of above copies
8. ☒ Assignment Papers  
☒ Assignee: Veritas Operating Corporation
9. Power of Attorney  
☒ Is attached.  
☐ The power of attorney appears in the original papers of the prior application.  
☐ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
10. ☐ Information Disclosure Statement (IDS)  
☐ Copies of IDS Citations
11. Amendments  
☐ A preliminary amendment is enclosed.  
☐ Cancel in this application claim(s) \_\_\_\_\_ before calculating the filing fee. At least one independent claim is retained for filing purposes.  
☐ Amend the specification by inserting before the first line the sentence: \_\_\_\_\_.
12. ☒ Return Receipt Postcard
13. Small Entity Status  
☐ A small entity statement is enclosed.  
☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.  
☐ Is no longer claimed.
14. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ is claimed under 35 U.S.C. §§ 119(a)-(d)
15. ☐ Petition under 37 C.F.R. § 136 for Extension of Time
15. ☒ Fee Authorization form
16. ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)

Address all future correspondence to:

B. Noël Kivlin  
Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C.  
Customer ID #35690  
P.O. Box 398  
Austin, Texas 78767  
(512) 853-8800; Fax (512) 853-8801

Signature

Name

Registration No.

Date

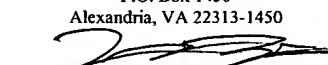
33,929

12-31-03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|                |                                                                                                        |
|----------------|--------------------------------------------------------------------------------------------------------|
| Atty. Dkt. No: | 5760-18600                                                                                             |
| Inventor(s):   | Anindya Banerjee, Sivaramakrishna<br>Ramadugu Venkata, Navin Kabra,<br>Kai Wong and Bijaya Laxmi Nanda |
| Title:         | EFFICIENT DISTRIBUTED<br>TRANSACTION PROTOCOL<br>FOR A DISTRIBUTED FILE<br>SHARING SYSTEM              |

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| <p>CERTIFICATE OF EXPRESS MAIL<br/>UNDER 37 C.F.R. §1.10</p> <p>"Express Mail" mailing label number: EL990142675US<br/>DATE OF DEPOSIT: <u>12-31-03</u></p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:</p> <p align="center">Commissioner for Patents<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</p> <p align="center"><br/>Derrick Brown</p> |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

**FEE AUTHORIZATION**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

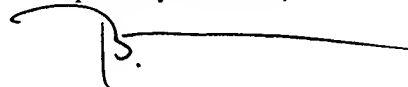
The Commissioner is hereby authorized to charge the following fee to Deposit Account Number 501505/5760-18600/BNK:

|                           |      |      |   |   |   |           |           |
|---------------------------|------|------|---|---|---|-----------|-----------|
| Total Claims              | 22   | - 20 | = | 2 | x | \$18.00 = | \$ 36.00  |
| Independent Claims        | 5    | - 3  | = | 2 | x | \$86.00 = | \$ 172.00 |
| Multiple Dependent Claims | Fee: |      |   |   |   |           |           |
| Basic Fee:                |      |      |   |   |   | \$        | 770.00    |
| Total:                    |      |      |   |   |   | \$        | 978.00    |

Attorney Docket No.: 5760-18600

The Commissioner is also authorized to charge any extension fee or other fees, which may be necessary to the same account number. If the abovementioned account is found to have insufficient funds, the Commissioner is authorized to charge Deposit Account Number 501623/5760-18600/BNK.

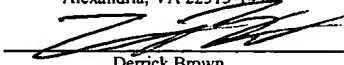
Respectfully submitted,



B. Noël Kivlin  
Reg. No. 33,929  
Attorney for Applicants

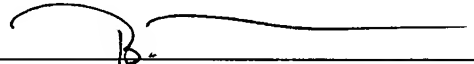
Meyertons, Hood, Kivlin,  
Kowert & Goetzel, P.C.  
P.O. Box 398  
Austin, Texas 78767-0398  
Ph: (512) 853-8800  
Date: 12-31-03

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|                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                     |            |                       |                         |        |                                                                                        |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|------------|-----------------------|-------------------------|--------|----------------------------------------------------------------------------------------|
| <p style="text-align: center;"><b>CERTIFICATE OF EXPRESS MAIL</b><br/>UNDER 37 C.F.R. §1.10</p> <p>"Express Mail" mailing label number: EL990142675US<br/>DATE OF DEPOSIT: <u>12-31-03</u></p> <p>I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to:</p> <p style="text-align: center;">Commissioner for Patents<br/>Box Patent Application<br/>P.O. Box 1450<br/>Alexandria, VA 22313-1450</p> <p style="text-align: center;"><br/>Derrick Brown</p> | <p style="text-align: center;"><b>REQUEST AND CERTIFICATION</b><br/><b>UNDER</b><br/><b>35 U.S.C. 122(b)(2)(B)(i)</b></p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 40%;">Atty Docket Number:</td> <td>5760-18600</td> </tr> <tr> <td>First Named Inventor:</td> <td>Anindya Banerjee, et al</td> </tr> <tr> <td>Title:</td> <td>EFFICIENT DISTRIBUTED TRANSACTION<br/>PROTOCOL FOR A DISTRIBUTED FILE<br/>SHARING SYSTEM</td> </tr> </table> | Atty Docket Number: | 5760-18600 | First Named Inventor: | Anindya Banerjee, et al | Title: | EFFICIENT DISTRIBUTED TRANSACTION<br>PROTOCOL FOR A DISTRIBUTED FILE<br>SHARING SYSTEM |
| Atty Docket Number:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | 5760-18600                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |                     |            |                       |                         |        |                                                                                        |
| First Named Inventor:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       | Anindya Banerjee, et al                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |                     |            |                       |                         |        |                                                                                        |
| Title:                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | EFFICIENT DISTRIBUTED TRANSACTION<br>PROTOCOL FOR A DISTRIBUTED FILE<br>SHARING SYSTEM                                                                                                                                                                                                                                                                                                                                                                                                        |                     |            |                       |                         |        |                                                                                        |

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b)

12-31-03  
Date

  
Signature

B. Noël Kivlin  
Typed or printed name

33,929  
Reg. No.

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

**Burden Hour Statement:** This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.